

SHORELINE FINANCIAL ADVISORS, LLC

Privacy Notice

At Shoreline Financial Advisors, LLC, we appreciate the privacy concerns and expectations of our customers. We have established the following policies to maintain the privacy of information you share with us.

Information We Collect

We collect and retain non-public personal information about you that may include:

- Information we receive on your account applications or other forms such as your name, address, financial information and/or social security number;
- Information we receive about your securities transactions, such as purchases, sales and account balances;
- Information we receive from others such as service providers, broker-dealers and your personal agents or representatives; and
- Information you and others provide to us in correspondence sent to us, whether written, electronic or by telephone.

Information We May Share

We do not sell any of your nonpublic personal information to third parties. We may share the information we collect with third parties only when those parties are acting on our behalf in servicing your account, or as required by law. These third parties may include:

- Administrative service providers who, for example, process transactions for your account, print checks or prepare account statements; and
- Governmental or other legal agencies, as required by law.

When information is shared with third parties, they are legally obligated to maintain the confidentiality of the information and to limit their use of it to servicing your account, except as permitted or required by law.

Confidentiality and Security

Within our organization, we restrict access to your nonpublic personal information to authorized employees who need to access to such information in order to provide services or products to you. We maintain physical, electronic and procedural safeguards to protect your nonpublic personal information.

Applicability

Our privacy policy applies only to those individual investors who have a direct relationship with us.

We value your business. We understand the importance of maintaining the integrity of your personal information and are committed to keeping your trust. Please contact us at (203) 458-6800 if you have any questions concerning our policy.

June 1, 2009